



10007.000110

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Robert F. Terry Serial No.: 09/827,451 Filed: April 6, 2001 Title: SYSTEM AND METHOD FOR REAL TIME MONITORING AND CONTROL OF A COMPUTER MACHINE ENVIRONMENT AND CONFIGURATION PROFILE	Confirmation No: 6181 Examiner: Gregory Allan Morse Art Unit: 2134 Atty. Docket No.: 10007.000110
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Commissioner for Patents
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INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing reference(s) which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an

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application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

- This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby listed but are not required to be provided in this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

- 37 CFR §1.97(b).
- 37 CFR §1.97(c). If so, then either:
- Applicant certifies pursuant to 37 CFR § 1.97(e) that either:
- each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR

- no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement.

OR

- Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
- 37 CFR § 1.97(d). If so, then both:
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three months prior to the filing of this Statement.

AND

- Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted,

Robert F. Terry

Dated: January 16, 2004

By: Arnold M. deGuzman

Arnold M. deGuzman
Attorney For Applicant(s)
Reg. No. 39,955
DeGuzman & Associates, PC
5276 Hollister Avenue, Suite 160
Santa Barbara, CA 93111
Tel.: (805) 681-5078
Fax: (805) 681-5076

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US App. No. 09/827,451



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	09/827,451
		Filing Date	April 6, 2001
		First Named Inventor	Robert F. Terry
		Art Unit	2134
		Examiner Name	Gregory Allan Morse
		Attorney Docket Number	10007.000110

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FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND ALL COMMENTS REGARDING THIS COLLECTION OF INFORMATION TO NMPC, U.S. PATENT AND TRADEMARK OFFICE, 1100 LEAVENS DRIVE, MARYLAND HEIGHTS, MO 63146.

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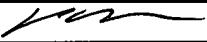
Application Number	09/827,451
Filing Date	April 6, 2001
First Named Inventor	Robert F. Terry
Art Unit	2134
Examiner Name	Gregory Allan Morse
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	10007.000110

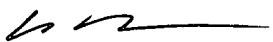
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Firm or Individual name	Arnold M. de Guzman Reg. No. 39, 955 De Guzman & Associates, PC
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